IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):   Matthew Conover

Assignee: Symantec Corporation

Title: GRANULAR ACCESS CONTROL METHOD AND SYSTEM

Serial No.:   10/829,591   Filed: April 21, 2004

Examiner: Unknown   Group Art   2131
Unit:

Docket No.:   SYMC1050

Monterey, CA
August 10, 2004

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
UNDER §1.97(b)

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) wish to call the following documents (a copy of each is enclosed) to the attention of the Examiner:

OTHER DOCUMENTS

1)	*"Kernel-Mode Driver Architecture: Windows DDK - SeAccessCheck"* June 6, 2003, 2 pages. [online]. Retrieved on November 21, 2003. Retrieved from the Internet:<URL:http://msdn.microsoft.com/library/en-us/kmarch/hh/kmarch/k110_42wi.asp?frame=true>. Author unknown.
2)	*"Kernel-Mode Driver Architecture: Windows DDK - ObDereferenceObject"* October 6, 2003, 2 pages. [online]. Retrieved on April 7, 2004. Retrieved from the Internet:<URL:http://msdn.microsoft.com/library/en-us/kmarch/hh/kmarch/k107_6vg2.asp?frame=true>. Author unknown.
3)	*"Kernel-Mode Driver Architecture: Windows DDK - Object Management"* June 6, 2003, 1 page. [online]. Retrieved on November 21, 2003. Retrieved from the Internet:<URL:http://msdn.microsoft.com/library/en-us/kmarch/hh/kmarch/objects_033b.asp?frame=true>. Author unknown.

4)	*"Kernel-Mode Driver Architecture: Windows DDK - Object Handles"* June 6, 2003, 2 pages. [online]. Retrieved on November 21, 2003. Retrieved from the Internet:<URL:http://msdn.microsoft.com/library/en-us/kmarch/hh/kmarch/objects_5btz.asp?frame=true>. Author unknown.
5)	*"Kernel-Mode Driver Architecture: Windows DDK - ObReferenceObjectByHandle"* June 6, 2003, 2 pages. [online]. Retrieved on November 21, 2003. Retrieved from the Internet:<URL:http://msdn.microsoft.com/library/en-us/kmarch/hh/kmarch/k107_54qa.asp?frame=true>. Author unknown.
6)	*"Kernel-Mode Driver Architecture: Windows DDK - Life Cycle of an Object"* June 6, 2003, 2 pages. [online]. Retrieved on November 21, 2003. Retrieved from the Internet:<URL:http://msdn.microsoft.com/library/en-us/kmarch/hh/kmarch/objects_16av.asp?frame=true>. Author unknown.
7)	*"Kernel-Mode Driver Architecture: Windows DDK - Object Names"* June 6, 2003, 2 pages. [online]. Retrieved on November 21, 2003. Retrieved from the Internet:<URL:http://msdn.microsoft.com/library/en-us/kmarch/hh/kmarch/objects_9k13.asp?frame=true>. Author unknown.
8)	Russinovich, Mark, *"Inside NT's Object Manager"*, October 1997, 8 pages. [online]. Retrieved on April 5, 2004. Retrieved from the Internet:<URL:http://www.winntmag.com/Articles/Print.cfm?ArticleID=299>.

A PTO form 1449 listing these documents is enclosed.

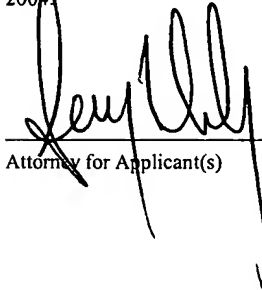Citation of the above documents shall not be construed as:

1.   an admission that the documents are necessarily prior art with respect to the instant invention;

2.   a representation that a search has been made, other than as described above; or

3.   an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The Commissioner is hereby authorized to charge any fees required for consideration of this Information Disclosure

Statement, and to credit any overpayment of fees to Deposit Account No. 50-0553.
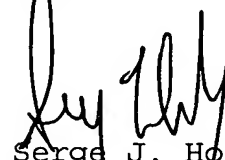
Respectfully submitted,

Serge J. Hodgson
Attorney for Applicant(s)
Reg. No. 40,017
(831) 655-0880

- 3 -   Serial No. 10/829,591

Form PTO-1449	Atty Docket No.	Serial No.
	SYMC1050	10/829,591

INFORMATION DISCLOSURE CITATION

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	AN							
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OTHER DOCUMENTS (*Including Author, Title, Date, Pertinent Pages, Etc.*)

	AR	*"Kernel-Mode Driver Architecture: Windows DDK - SeAccessCheck"* June 6, 2003, 2 pages. [online]. Retrieved on November 21, 2003. Retrieved from the Internet:<URL:http://msdn.microsoft.com/library/en-us/kmarch/hh/kmarch/k110_42wi.asp?frame=true>. Author unknown.
	AS	*"Kernel-Mode Driver Architecture: Windows DDK - ObDereferenceObject"* October 6, 2003, 2 pages. [online]. Retrieved on April 7, 2004. Retrieved from the Internet:<URL:http://msdn.microsoft.com/library/en-us/kmarch/hh/kmarch/k107_6vg2.asp?frame=true>. Author unknown.
	AT	*"Kernel-Mode Driver Architecture: Windows DDK - Object Management"* June 6, 2003, 1 page. [online]. Retrieved on November 21, 2003. Retrieved from the Internet:<URL:http://msdn.microsoft.com/library/en-us/kmarch/hh/kmarch/objects_033b.asp?frame=true>. Author unknown.

Examiner	Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

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